

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. I	2. Issuer Name <b>and</b> Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Roush John A						ADVANCED ENERGY INDUSTRIES INC [ AEIS ]								X_ Director 10% Owner				
(Last) (First) (Middle)				_	3. Date of Earliest Transaction (MM/DD/YYYY)							Officer (give title below) Other (specify below)						
1595 WYNKOOP STREET, SUITE 800						4/30/2020												
(Street)						4. If Amendment, Date Original Filed (MM/DD/YYYY)							6. Individual or Joint/Group Filing (Check Applicable Line)					
DENVER, CO 80202 (City) (State) (Zip)													X _ Form filed by One Reporting Person Form filed by More than One Reporting Person					
			Table	I - Nor	ı-Der	ivati	ve Secu	rities Acc	quire	ed, Di	sposed	of, or	Bei	neficially Owne	d			
1. Title of Security (Instr. 3)							3. Trans. Co (Instr. 8)	or Disp		urities Acquired (A bosed of (D) 3, 4 and 5)		F	5. Amount of Securit Following Reported 7 (Instr. 3 and 4)	ties Beneficially Owned Transaction(s)		Ownership Form:	Beneficial	
								Code	V	Amou	(A) o	r Pri	ice				Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
Common Stock				4/30/2	020			A		3500	<u>)</u> A	\$0.0	00	29	9000 (2)		D	
	Tab	ole II - Dei	rivative	Secur	ities l	Bene	eficially	Owned (	e.g.,	puts,	calls, w	arran	ıts,	options, conver	tible secu	ırities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Trans. Date	3A. Dee Execution Date, if	on (In	Trans. ( istr. 8)	Code	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisa Expiration Date				rities vative	Underlying e Security	Derivative Security (Instr. 5)	Securities Beneficially Owned	Ownership Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
	Security			Cc	Code	V	(A)	(D)	Date Exerc	cisable	Expiration Date	Title	Am Sha	nount or Number of ares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	

## **Explanation of Responses:**

- (1) The restricted stock units granted on April 30, 2020 will vest 100% at the first anniversary date of the grant date. This is an annual non-employee director grant as outlined in the 2020 proxy.
- (2) As of this filing date, Mr. Roush holds 19,500 shares in street name.

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Roush John A 1595 WYNKOOP STREET, SUITE 800 DENVER, CO 80202	X						

### **Signatures**

/s/ Thomas O. McGimpsey - Attorney-in-Fact 5/4/2020

\*\*Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.